



Grow Maine – Loan & Investment Exhibit F – Lender / Investor Certification of Compliance with Principal Background Requirements

No principal of the Lending / Investing entity has been convicted of a sex offense against a minor (as such terms are defined in 34 U.A.C SS 20911). For the purposes of the certification, “principal” means the following: each of the five most highly compensated executives, officers, or employees of the entity, all Board members and each direct or indirect holder of 20 percent or more of the ownership stock or stock equivalent of the entity.

Signature: _____

By: [Printed Name] _____

Its: [Title / Authorized Signatory] _____

Date: _____