

Grow Maine – Loan & Investment

Exhibit D – Borrower/Investee Self-Certification

Socially and Economically Disadvantaged Individual or Business (SEDI)

This transaction is supported with funding provided through the State Small Business Credit Initiative (SSBCI), a federal program that supports small business lending and investment programs in states, the District of Columbia, territories, and Tribal governments (collectively known as participating jurisdictions). SSBCI programs are designed to expand access to capital, promote economic resiliency, and create new jobs and economic opportunity. SSBCI provides funding for participating jurisdictions to support businesses owned and controlled by socially and economically disadvantaged individuals (SEDI-owned businesses). This certification provides documentation that an SSBCI loan or investment supported a SEDI-owned business. The information collected must also not be used in a manner that violates any applicable antidiscrimination laws, including, but not limited to, the laws specified in Section IX.b of the Capital Program Policy Guidelines (Compliance with Civil Rights Requirements).

The borrower or investee is not required to provide this certification. The borrower or investee may identify all categories in groups one (1) through four (4) that apply, including all subcategories in group one (1) that apply.

Legal name of borrower ☐ or investee ☐: _____

The borrower or investee hereby certifies to the lender or investor that it is a:

1. Business enterprise that is owned and controlled by the individuals who have had their access to credit on reasonable terms diminished as compared to others in comparable economic circumstances, due to their:
 - ☐ Membership of a group that has been subjected to racial or ethnic prejudice or cultural bias within American society;
 - ☐ Gender: ☐ Male ☐ Female ☐ Non-binary ☐ Choose not to respond
 - ☐ Veteran status: ☐ Veteran ☐ Non-veteran ☐ Choose not to respond
 - ☐ Limited English proficiency;
 - ☐ Disability;
 - ☐ Long-term residence in an environment isolated from the mainstream of American society;
 - ☐ Membership of a federally or state-recognized Indian Tribe;
 - ☐ Long-term residence in a rural community;
 - ☐ Residence in a U.S. territory;
 - ☐ Residence in a community undergoing economic transitions (including communities impacted by the shift towards a net-zero economy or deindustrialization); or
 - ☐ Membership of another underserved community.

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2. ☐ Business enterprise that is currently located in a CDFI Investment Area
(address) _____
3. ☐ Business enterprise that is owned and controlled by individuals whose residences
are in CDFI Investment Areas (address) _____
4. ☐ Business enterprise that will build, open, or operate a location in a CDFI Investment
Area (address) _____

The undersigned is an authorized representative of the borrower or investee.

Signature: _____

Name, Print or Type: _____

Title: _____

Date: _____

For Questions 2, 3 and 4 above, please use the following link to determine if your
address is located in a CDFI Investment area.

https://cimsprodprep.cdfifund.gov/CIMS4/apps/pn-cdfi/index.aspx?entity=STATE,23¢er=-68.984684.45.237734&level=8&tool=result&visible=CT_2020_CDFI,STATE