

MAINE SEED CAPITAL TAX CREDIT PROGRAM  
ANNUAL REPORT FOR CALENDAR YEAR 2021  
**(Due March 31, 2022)**

| QUESTION | RESPONSE  |  |                      |                    |
|----------|---|--|----------------------|--------------------|
| 1A       | Is the business still in operation as of 12/31/21? If not, what date did the business cease operations and what was the likely cause of that event?   |  |                      |                    |
| 1B       | Are a majority of the company's assets and operations still located in the State of Maine as of 12/31/2021?   |  |                      |                    |
| 2A       | What is the total amount of <b>private</b> (non-governmental) investment received in <u>calendar year 2021</u> from investors who have applied or will apply for a Maine Seed Capital Tax Credit?   | <u>Total Seed Capital Investments 2021 (\$)</u>  |                      |                    |
| 2B       | What <b>other</b> (non-Seed Capital participating) investments or grants did the company receive in calendar year 2021 from <b>private</b> (non-governmental) sources?  | <u>Total other private investments 2021 (\$)</u> |                      |                    |
| 2C       | What <b>other</b> loans, investments or grants did the company receive in calendar year 2021 from <b>public</b> (federal or state government) sources? (eg., MTI, MVF, DECD, USDA)  | <u>Name of Source</u>                            | <u>Type of funds</u> | <u>Amount (\$)</u> |
| 2D       | To the best of your knowledge, what percentage of the investments qualifying for Seed Capital Tax Credits in 2021 (answer to 2A) would have been made without the credit being available?   |  |                      |                    |
| 3A       | What are the total number of permanent employees (do not include temporary or seasonal employees unless hired on a recurring basis) employees (on an FTE basis) of the business as of December 31, 2021?<br><i>{Please list true, paid company employees only, not unpaid principals or advisors, independent contractors or employees of related companies.}</i> |  |                      |                    |

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|----------|---|---|
| 3B       | How many independent contractors did the company have under contract on 12/31/2021 (on a FTE basis)? (include temporary and seasonal if hired on recurring basis)   |   |
| 4A       | <u>Number</u>   | <u>Location in Maine (city or town)</u> |
| 4B       | <u>Number</u>   | <u>Location in Maine (city or town)</u> |
| 5A       | Of those Maine employees listed in the answer to Question 4A, how many <u>would not</u> have been hired or retained if investments qualifying under the Maine Seed Capital Tax Credit program had not been received by the business, either in 2021 or prior years?   |   |
| 5B       | Of those Maine contractors listed in the answer to Question 4B, how many <u>would not</u> have been hired or retained if investments qualifying under the Maine Seed Capital Tax Credit program had not been received by the business, either in 2021 or prior years? |   |
| 6A       | What was the company's total annual payroll for all Maine employees ( <u>those listed in answer to 4A only</u> ) for the calendar year ending 12/31/2021?   |   |
|          | \$  |   |

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| QUESTION | RESPONSE  |
|----------|---|
| 6B       | What was the company’s annual payroll for those Maine employees that <u>would not</u> have been hired or created in absence of the business’ receipt of investments that qualified for the Maine Seed Capital Tax Credit ( <u>those listed in answer to 5A only</u> ), either in 2021 or prior years?     |
| 7A       | What was the company’s total annual expenses for all Maine contractors ( <u>those listed in answer to 4B only</u> ) for the calendar year ending 12/31/2021?  |
| 7B       | What was the company’s annual expenses for those Maine contractors that <u>would not</u> have been hired or retained in absence of the business’ receipt of investments that qualified for the Maine Seed Capital Tax Credit ( <u>those listed in answer to 5B only</u> ), either in 2021 or prior years? |
| 8        | What was the business’ total gross revenue from Maine-based operations in the calendar year ending 12/31/21?  |
| 9        | What was the business’ total gross revenue from operations based in locations outside of Maine in the calendar year ending 12/31/21? (if applicable)  |
| 10       | What is the total estimated spending by the company in Maine in calendar year 2021, including, without limitation, payroll, state or local taxes, raw materials and supplies, and other goods and services.   |

In order to keep accurate records of all participating companies, please provide the following information.

COMPANY LEGAL NAME:

CONTACT PERSON:

ADDRESS:

ADDRESS:

PHONE:

E-MAIL:

E.I.N.

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Certification: the undersigned hereby certifies that the information provided is true, accurate and complete.

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

***Eligible companies must complete this report for the year its application is approved, and continuing each calendar year thereafter through and including the four (4) years following the year the last certificate is issued. Please note that failure to provide this information will disqualify your company from continued participation in the Program and may cause revocation of previously issued tax credits.***

***Please complete and return this form no later than March 31, 2022 to:***

Michelle MacKenzie  
Finance Authority of Maine  
P.O. Box 949  
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[mmackenzie@famemaine.com](mailto:mmackenzie@famemaine.com)  
(207) 620-3541