



**NextGen College Investing Plan<sup>®</sup>**  
*Client Direct Series*  
**Investment Change Form**

**For Merrill Lynch Internal Use Only**

<b>To process</b>	<b>Screen</b>
<i>Future Contributions</i>	<i>KDC</i>
<i>Exchanges</i>	<i>IKA/I</i>

Complete this form if you would like to initiate any of the following investment changes to your NextGen College Investing Plan Account ("NextGen Account") with assets in the Client Direct Series. Please complete one Investment Change Form per NextGen Account.

- Update how your **Future Contributions** are allocated (Section 2a)
- **Exchange** your existing NextGen Account assets (Section 2b)\*

\* Participants may exchange or change how existing NextGen Account assets have been allocated for the same Designated Beneficiary once per calendar year or upon a change of the Designated Beneficiary.

Upon receipt of properly completed paperwork, investment changes may take up to five business days to process. The requested proceeds of the redeemed Units will be reinvested in the Portfolio(s) as directed and will be invested at the net asset value for Units of the Portfolio(s) on the day of reinvestment. To initiate an investment change in your Client Direct Series NextGen Account complete the applicable sections, sign Section 3 and follow the mailing instructions.

**1. Current Account Information**

**Information about you, the Participant:**

Name (Last/First/M.I.) or Name of Custodianship/Trust/Corp./Other

Participant's Social Security No.

Daytime Phone No. Evening Phone No.

NextGen Account No.

**Information about your Designated Beneficiary:**

Last Name First Name M.I.

Designated Beneficiary's Social Security No.

Date of Birth (month/day/year)

**MULTIPLE NEXTGEN ACCOUNTS**

**Do you have additional NextGen Accounts for the same Designated Beneficiary?**  Yes\*  No

\*A separate Investment Change Form MUST be submitted AT THE SAME TIME for each of the Accounts. If you do not submit an Investment Change Form for all Accounts for the same Designated Beneficiary at the same time, you may not change how existing Contributions and any earnings thereon are allocated for the other Accounts until the following calendar year.

**2a. Future Contributions**

Enter the percentage to be allocated to each Portfolio. **Your total Contribution allocation must equal 100%.**

Age-Based Diversified Portfolios	Diversified Portfolios	Single Fund Portfolio & Principal Plus Portfolio
BlackRock Age-Based Portfolios <input type="text"/> %	BlackRock 100% Equity Portfolio <input type="text"/> %	BlackRock Equity Index Portfolio <input type="text"/> %
iShares Age-Based Portfolios <input type="text"/> %	iShares Diversified Equity Portfolio <input type="text"/> %	Principal Plus Portfolio <input type="text"/> %
	iShares Diversified Fixed Income Portfolio <input type="text"/> %	
	Franklin Templeton Balanced Portfolio <input type="text"/> %	
	MFS Fixed Income Portfolio <input type="text"/> %	
<b>Subtotal (I)</b> <input type="text"/> %	<b>Subtotal (II)</b> <input type="text"/> %	<b>Subtotal (III)</b> <input type="text"/> %
<b>Total of all Subtotals I, II, III must equal 100% Total</b>		<input type="text"/> %

## 2b. Exchange (Existing Assets)

Please be advised that completing this Section will not update how future Contributions are allocated. If you would like to change the investment instructions for future Contributions, please complete Section 2a.

Current NextGen Account assets may be exchanged once per calendar year or upon a change of the Designated Beneficiary. If you would like to change how your existing Contributions are invested, please follow the instructions below.

- Write in the name of the Portfolio(s) you wish to SELL.
- Enter the percentage of the Portfolio(s) you wish to SELL.
- Write in the name of the Portfolio(s) you wish to BUY.
- Enter how you wish the proceeds to be allocated, by percentage, in the BUY column. **Your total BUY percentages must equal 100%.**

### EXAMPLE

To Sell (Direct Units) Only		Buy (Direct Units)	
%	Portfolio Name	%	Portfolio Name
100	Portfolio 1	75	Portfolio 4
50	Portfolio 2	25	Portfolio 5
100	Portfolio 3		
		100	<b>BUY must equal 100%</b>

To Sell (Direct Units) Only		Buy (Direct Units)	
%	Portfolio Name	%	Portfolio Name
			<b>BUY must equal 100%</b>

## 3. Signature

I certify that the instructions and information provided herein are true and correct and comply with the terms and conditions of the NextGen College Investing Plan Program Description and Participation Agreement and any Supplements thereto.

X \_\_\_\_\_  
Signature of Participant

\_\_\_\_\_  
Date

### PLEASE FOLLOW THESE MAILING INSTRUCTIONS TO AVOID DELAYS IN PROCESSING

Return to: College Plan Services  
P.O. Box 1518  
Pennington, NJ 08534-1518

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#### Investment products:

Are Not FDIC Insured	Are Not Bank, State or Federal Guaranteed	May Lose Value
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Merrill Lynch, Pierce, Fenner & Smith Incorporated, Program Manager



Program Administrator

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